

Matt Brown, Jens Palsberg:
*Typed Self-Evaluation via Intensional Type
Functions* (POPL 2017)

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Setting the scene

Self-representation

- ▶ *Data*: in normal form
- ▶ *Quotation*: injective & total mapping of terms to data (*not* a function defined in the language! it is necessarily intensional)
- ▶ *Shallow vs. deep representation*: supports one or multiple operations
- ▶ Related: *embedding*, but that is not necessarily data

To summarize, the quotation mapping $\llbracket \cdot \rrbracket$ maps some closed term $e : \tau$ to another, normal-form term $\llbracket e \rrbracket : \text{Exp } \tau$.

Note that Exp might be a constant type family, i.e. the representation might be untyped.

Unquoter vs. reducer

- ▶ *Unquoter*: a function, *defined in the language*, that, when applied on a quoted term, β -reduces to the term itself:

$$\text{unquote } [e] \longrightarrow_{\beta}^{*} e$$

- ▶ *Reducer*: a function, *defined in the language*, that, when applied on a quoted term, β -reduces to the representation of some normal form of the term:
if

$$e \longrightarrow_{\beta}^{*} v, \quad v \text{ is in some normal form}$$

then

$$\text{reduce } [e] \longrightarrow_{\beta}^{*} [v]$$

Intuitive example

Suppose we have a language with

- ▶ Natural numbers
- ▶ Addition
- ▶ Strings

The following are all different terms of this language:

- ▶ $3 + 5$
- ▶ " $3 + 5$ "
- ▶ 8
- ▶ "8"

Then, by using a string-based representation (Exp _ = String), we have

$$\text{unquote}("3 + 5") \longrightarrow^* 3 + 5$$

$$\text{reduce}("3 + 5") \longrightarrow^* "8"$$

Selected lambda calculi – LC

$$\langle \text{term } e \rangle \models x \mid \lambda x . e \mid e_1 e_2$$

The untyped lambda calculus

- Not strongly normalizing (e.g. $(\lambda x.x\ x)\ (\lambda x.x\ x)$)
- Self-interpreter is no big deal & necessarily partial

$$const = \lambda x. \lambda y. x$$

Selected lambda calculi – STLC

$$\begin{array}{lcl} \langle \text{type } \tau \rangle & \models & \tau_1 \rightarrow \tau_2 \\ \langle \text{term } e \rangle & \models & x \mid \lambda x : \tau. e \mid e_1 \ e_2 \end{array}$$

The simply typed lambda calculus

- ▶ Strongly normalizing
- ▶ No type-level abstractions (incl. polymorphism)!
- ▶ Needs “base types”
- ▶ How would you type a generic self-interpreter... ?

const : A → B → A

const = $\lambda x : A. \lambda y : B. x$

Selected lambda calculi – F

$$\langle \text{kind } \kappa \rangle \models \star$$

$$\langle \text{type } \tau \rangle \models \alpha \mid \tau_1 \rightarrow \tau_2 \mid \forall \alpha : \kappa. \tau$$

$$\langle \text{term } e \rangle \models x \mid \lambda x : \tau. e \mid e_1 \ e_2 \mid \Lambda \alpha : \kappa. e \mid e @ \tau$$

System F

- ▶ Strongly normalizing
- ▶ Parametric polymorphism (note: at any rank!)
- ▶ “Atomic” types
- ▶ Self-unquoter: see authors’ paper from POPL 2016

$$const : \forall \alpha : \star. (\alpha \rightarrow \forall \beta : \star. (\beta \rightarrow \alpha))$$

$$const = \Lambda \alpha : \star. \lambda x : \alpha. \Lambda \beta : \star. \lambda y : \beta. x$$

Selected lambda calculi – F_ω

$$\langle \text{kind } \kappa \rangle \models \star \mid \kappa_1 \rightarrow \kappa_2$$

$$\langle \text{type } \tau \rangle \models \alpha \mid \tau_1 \rightarrow \tau_2 \mid \forall \alpha : \kappa. \tau \mid \lambda \alpha : \kappa. \tau \mid \tau_1 \tau_2$$

$$\langle \text{term } e \rangle \models x \mid \lambda x : \tau. e \mid e_1 e_2 \mid \Lambda \alpha : \kappa. e \mid e @ \tau$$

System F_ω

- ▶ Strongly normalizing
- ▶ Parametric polymorphism (note: at any rank!)
- ▶ Type constructors, type transformers, . . .
- ▶ Self-unquoter: see authors' paper from POPL 2016

$$const : \forall \alpha : \star. (\alpha \rightarrow \forall \beta : \star. (\beta \rightarrow \alpha))$$

$$const = \Lambda \alpha : \star. \lambda x : \alpha. \Lambda \beta : \star. \lambda y : \beta. x$$

Typed evaluation of STLC in Haskell

STLC evaluator in Haskell – explicit variables

```
data Ctx a = O | Ctx a :> a
data Var ctx t where
  VZ :: Var (ts :> t) t
  VS :: Var ts t → Var (ts :> t0) t
data Exp ctx t where
  Var :: Var ctx t → Exp ctx t
  App :: Exp ctx (t0 → t) → Exp ctx t0 → Exp ctx t
  Abs :: Exp (ctx :> t1) t2 → Exp ctx (t1 → t2)
```

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data Exp ctx t where
  Var :: Var ctx t → Exp ctx t
  App :: Exp ctx (t0 → t) → Exp ctx t0 → Exp ctx t
  Abs :: Exp (ctx :> t1) t2 → Exp ctx (t1 → t2)
```

But this requires capture-avoiding substitution...

STLC evaluator in Haskell – HOAS

HOAS approach to writing a typed STLC evaluator:

```
data Exp t where
    Abs :: (Exp t1 → Exp t2) → Exp (t1 → t2)
    App :: Exp (t0 → t)      → Exp t0 → Exp t
eval :: Exp t → Exp t
eval (App e1 e2) = case eval e1 of
    Abs f → eval (f e2)
    e1'   → App e1' e2
eval e = e
```

STLC evaluator in Haskell – HOAS

HOAS approach to writing a typed STLC evaluator:

```
{-# LANGUAGE GADTs #-}  
data Exp t where  
  Abs :: (Exp t1 → Exp t2) → Exp (t1 → t2)  
  App :: Exp (t0 → t) → Exp t0 → Exp t  
  eval :: Exp t → Exp t  
  eval (App e1 e2) = case eval e1 of  
    Abs f → eval (f e2)  
    e'1 → App e'1 e2  
  eval e = e
```

Actually, Haskell + GADTs

STLC evaluator in Haskell – HOAS

HOAS approach to writing a typed STLC evaluator:

```
{-# LANGUAGE GADTs #-}  
data Exp t where  
  Abs :: (Exp t1 → Exp t2) → Exp (t1 → t2)  
  App :: Exp (t0 → t) → Exp t0 → Exp t  
  eval :: Exp t → Exp t  
  eval (App e1 e2) = case eval e1 of  
    Abs f → eval (f e2)  
    e'1 → App e'1 e2  
  eval e = e
```

Actually, Haskell + GADTs

Simple evaluator for a simple target language... written in a very rich & complex host language.

Host vs. target language

— Haskell w/GADTs

..... Totality

— STLC

Getting rid of some sugar – GADTs

The feature that GADTs bring to the table is non-parametric types.
We can encode that with explicit equalities:

- yeah we cheat here because *Eq* itself is a GADT
- (more on that later)

```
data Eq a b where
  Refl :: Eq a a
```

We are now left with regular ADTs and existentials:

```
data Exp t
  = ∀t1 t2. Abs (Eq (t1 → t2) t) (Exp t1 → Exp t2)
  | ∀t0.   App
                (Exp (t0 → t)) (Exp t0)
```

Theory of type equalities

We need a theory of equalities to be able to use them. These are easily provable in Haskell, not new axioms.

-- It is an equivalence relation

refl :: $\text{Eq } a \ a$

sym :: $\text{Eq } a \ b \rightarrow \text{Eq } b \ a$

trans :: $\text{Eq } a \ b \rightarrow \text{Eq } b \ c \rightarrow \text{Eq } a \ c$

-- It is a congruence over type constructors, allowing coercion

coerce :: $\text{Eq } a \ b \rightarrow a \rightarrow b$

eqApp :: $\text{Eq } t_1 \ t_2 \rightarrow \text{Eq } (f \ t_1) \ (f \ t_2)$

-- Injectivity of (\rightarrow)

arrL :: $\text{Eq } (t_1 \rightarrow t_2) \ (s_1 \rightarrow s_2) \rightarrow \text{Eq } t_1 \ s_1$

arrR :: $\text{Eq } (t_1 \rightarrow t_2) \ (s_1 \rightarrow s_2) \rightarrow \text{Eq } t_2 \ s_2$

Using explicit equalities

$\text{eval} :: \text{Exp } t \rightarrow \text{Exp } t$

$\text{eval} (\text{App } e_1 e_2) = \text{case eval } e_1 \text{ of}$

$\quad \text{Abs } eq \ f \rightarrow \text{let } eqL = \text{eqApp} (\text{sym} (\text{arrL } eq))$

$\quad eqR = \text{eqApp} (\text{arrR } eq)$

$\quad f' = \text{coerce } eqR \circ f \circ \text{coerce } eqL$

$\quad \text{in eval} (f' e_2)$

$e'_1 \rightarrow \text{App } e'_1 e_2$

$\text{eval } e = e$

Getting rid of some sugar – recursive types

We use standard iso-recursive μ types to encode recursive types:

```
newtype  $\mu f a = Fold \{ unFold :: f (\mu f) a \}$ 
data  $ExpF f t$ 
      =  $\forall t_1 t_2. Abs (Eq (t_1 \rightarrow t_2) t) (f t_1 \rightarrow f t_2)$ 
      |  $\forall t_0. App (f (t_0 \rightarrow t)) (f t_0)$ 
type  $Exp = \mu ExpF$ 
```

Getting rid of some sugar – datatypes, existentials

Standard Scott encoding of constructors: representation based on deconstructors instead

```
newtype ExpF f t = MkExpF { unExpF ::  
    ∀r.  
        {-Abs -} (forall t1 t2. Eq (t1 → t2) t → (f t1 → f t2) → r) →  
        {-App -} (forall t0. f (t0 → t) → f t0 → r) →  
        r }  
type Exp = μ ExpF
```

This also transforms existentials into rank-2 universals.

Constructors and matching

Constructors are recovered by using the right deconstructors:

$$app :: Exp(t_0 \rightarrow t) \rightarrow Exp t_0 \rightarrow Exp t$$

$$app e_1 e_2 = Fold \$ MkExpF \$ \lambda_ app \rightarrow app e_1 e_2$$

$$abs :: (Exp t_1 \rightarrow Exp t_2) \rightarrow Exp(t_1 \rightarrow t_2)$$

$$abs f = Fold \$ MkExpF \$ \lambda abs_ \rightarrow abs refl f$$

Matching is just applying as deconstructors modulo μ plumbing:

$$matchExp :: Exp t$$

$$\rightarrow (\forall t_0. Exp(t_0 \rightarrow t) \rightarrow Exp t_0 \rightarrow r)$$

$$\rightarrow (\forall t_1 t_2. Eq(t_1 \rightarrow t_2) t \rightarrow (Exp t_1 \rightarrow Exp t_2) \rightarrow r)$$

$$\rightarrow r$$

$$matchExp e abs app = unExpF(unFold e) abs app$$

Getting rid of some sugar – Putting it all together

```
eval :: Exp t → Exp t
eval e = matchExp e
  (λe1 e2 → let e1' = eval e1
    in matchExp e1'
      (λ_ _ → app e1' e2)
      (λeq f → let eqL = eqApp $ sym $ arrL eq
        eqR = eqApp $ arrR eq
        f' = coerce eqR ∘ f ∘ coerce eqL
        in eval (f' e2)))
    (λ_ _ → e))
```

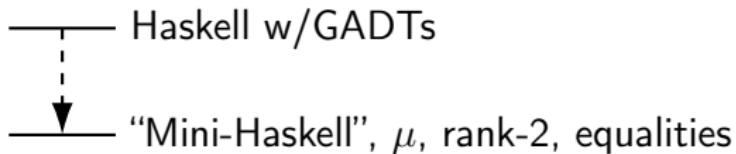
Host vs. target language

— Haskell w/GADTs

..... Totality

— STLC

Host vs. target language



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Towards F_ω^{ui}

About those pesky equalities...

We want to move further away from Haskell, but it turns out *Eq* still brings in a ton of Haskell baggage:

- ▶ *Eq* is still a GADT
- ▶ Its theory is implemented using GADT pattern matching

Can we make do with just F_ω ?

Let's use Leibniz equality instead:

$$Eq : \star \rightarrow \star \rightarrow \star$$

$$Eq @ \alpha @ \beta = \forall F : \star \rightarrow \star. F \alpha \rightarrow F \beta$$

Eq's theory reconstructed

coerce : $\forall \alpha : *. \forall \beta : *. Eq \alpha \beta \rightarrow \alpha \rightarrow \beta$

coerce $\circ \alpha \circ \beta = \lambda eq : Eq \alpha \beta. eq \ Id$

refl :: $\forall \alpha : *. Eq \alpha \alpha$

refl $\circ \alpha = \Lambda F : * \rightarrow *. \lambda x : F \alpha. x$

sym, *trans*, *eqApp* similarly

arrL and *arrR* are intensional!

The problem with defining *arrL* and *arrR* internally is that they require inspection of a function type to be able to decompose it. Clearly, this needs external support.

We add to our host language a type-level type inspection facility for this: **Typecase** with a non-parametric type equivalence:

Typecase : $(\star \rightarrow \star \rightarrow \star) \rightarrow \star \rightarrow \star$

Typecase *Arr* $(t_1 \rightarrow t_2) \equiv \text{Arr } t_1 \ t_2$

This enables writing *arrL* in terms of *eqApp* (and *arrR* similarly):

$\text{arrL} : \forall \alpha_1 \alpha_2 \beta_1 \beta_2 : \star. \text{Eq} (\alpha_1 \rightarrow \alpha_2) (\beta_1 \rightarrow \beta_2) \rightarrow \text{Eq} \alpha_1 \beta_1$

$\text{arrL} @ \alpha_1 @ \alpha_2 @ \beta_1 @ \beta_2 = \text{eqApp} @ (\alpha_1 \rightarrow \alpha_2) @ (\beta_1 \rightarrow \beta_2)$
 $@ (\text{Typecase} (\lambda \alpha : \star. \lambda \beta : \star. \alpha))$

Iso-recursive μ types

Let's just add explicit support for μ types to our host language. It is enough to do it for unary type constructors over \star , i.e. the fixed points will all be of kind $\star \rightarrow \star$:

$$\mu : ((\star \rightarrow \star) \rightarrow \star \rightarrow \star) \rightarrow \star \rightarrow \star$$

$$\frac{\Gamma \vdash F : (\star \rightarrow \star) \rightarrow \star \rightarrow \star \quad \Gamma \vdash \tau : \star \quad \Gamma \vdash e : F(\mu F)\tau}{\Gamma \vdash \mathbf{fold}_{\circ} F_{\circ} \tau \ e : \mu F \tau}$$

$$\frac{\Gamma \vdash F : (\star \rightarrow \star) \rightarrow \star \rightarrow \star \quad \Gamma \vdash \tau : \star \quad \Gamma \vdash e : \mu F \tau}{\Gamma \vdash \mathbf{unfold}_{\circ} F_{\circ} \tau \ e : F(\mu F)\tau}$$

Value-level recursion

Traversing $\mu \text{Exp} \tau$ involved recursion (*eval* was a recursive definition). Do we need to add recursion as a further primitive to our host language?

Value-level recursion

Traversing $\mu \text{Exp} \tau$ involved recursion (`eval` was a recursive definition). Do we need to add recursion as a further primitive to our host language?

No, because μ is enough:

$$R : (\star \rightarrow \star) \rightarrow \star \rightarrow \star$$

$$R f \alpha = f \alpha \rightarrow \alpha$$

$$\text{diag} : \forall \alpha : \star. (\mu R \alpha \rightarrow \alpha) \rightarrow \alpha$$

$$\text{diag} @ \alpha = \lambda f : (\mu R \alpha \rightarrow \alpha). f (\mathbf{fold} f)$$

$$\text{fix} : \forall \alpha : \star. (\alpha \rightarrow \alpha) \rightarrow \alpha$$

$$\text{fix} @ \alpha = \lambda f : (\alpha \rightarrow \alpha). \text{diag} @ \alpha (f \circ \text{diag} @ \alpha \circ \mathbf{unfold} @ R @ \alpha)$$

System F $_{\omega}^{\mu i}$

$$\begin{aligned}\langle \text{kind } \kappa \rangle &\models \star \mid \kappa_1 \rightarrow \kappa_2 \\ \langle \text{type } \tau \rangle &\models \alpha \mid \tau_1 \rightarrow \tau_2 \mid \forall \alpha : \kappa. \tau \mid \lambda \alpha : \kappa. \tau \mid \tau_1 \tau_2 \mid \\ &\quad \mu \mid \mathbf{Typecase} \\ \langle \text{term } e \rangle &\models x \mid \lambda x : \tau. e \mid e_1 e_2 \mid \Lambda \alpha : \kappa. e \mid e @ \tau \mid \\ &\quad \mathbf{fold} \; \tau_1 \; \tau_2 \; e \mid \mathbf{unfold} \; \tau_1 \; \tau_2 \; e\end{aligned}$$

- ▶ Parametric polymorphism (note: at any rank!)
- ▶ Type constructors, type transformers, ...
- ▶ Iso-recursive types
- ▶ Intensional typecase, but only on type level (no RTTI)

STLC in $F_{\omega}^{\mu i}$

No surprises here:

$$ExpF : (\star \rightarrow \star) \rightarrow \star \rightarrow \star$$

$$ExpF \ F \ t =$$

$$\forall r : \star.$$

$$\begin{aligned} \{\text{-Abs -}\} \quad & (\forall t_1 \ t_2 : \star. Eq \ (t_1 \rightarrow t_2) \ t \rightarrow (F \ t_1 \rightarrow F \ t_2) \rightarrow r) \rightarrow \\ \{\text{-App -}\} \quad & (\forall t_0 : \star. F \ (t_0 \rightarrow t) \rightarrow F \ t \rightarrow r) \rightarrow \end{aligned}$$

$$r$$

$$Exp : \star \rightarrow \star$$

$$Exp = \mu \ ExpF$$

STLC in $F_{\omega}^{\mu i}$ with meta-variables

We'll switch to a PHOAS representation, useful for some intensional processing:

$$PExpF : (\star \rightarrow \star) \rightarrow (\star \rightarrow \star) \rightarrow \star \rightarrow \star$$

$$PExpF \ V \ F \ t =$$

$$\forall r : \star.$$

$$\{\text{-Var -}\} \ (V \alpha \rightarrow$$

$$r) \rightarrow$$

$$\{\text{-Abs -}\} \ (\forall t_1 \ t_2 : \star. Eq(t_1 \rightarrow t_2) \ t \rightarrow (F \ t_1 \rightarrow F \ t_2) \rightarrow$$

$$r) \rightarrow$$

$$\{\text{-App -}\} \ (\forall t_0 : \star.$$

$$F(t_0 \rightarrow t) \rightarrow F \ t \rightarrow r) \rightarrow$$

$$r$$

$$PExp : (\star \rightarrow \star) \rightarrow \star \rightarrow \star$$

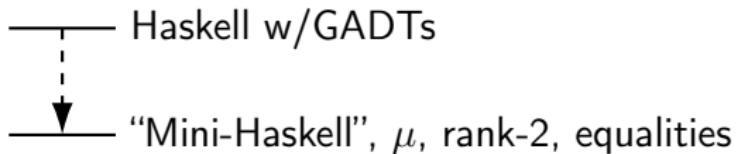
$$PExp \ V = \mu (PExpF \ V)$$

-- A (meta-)closed expression

$$Exp : \star \rightarrow \star$$

$$Exp \ \alpha = \forall V : \star \rightarrow \star. PExp \ V \ \alpha$$

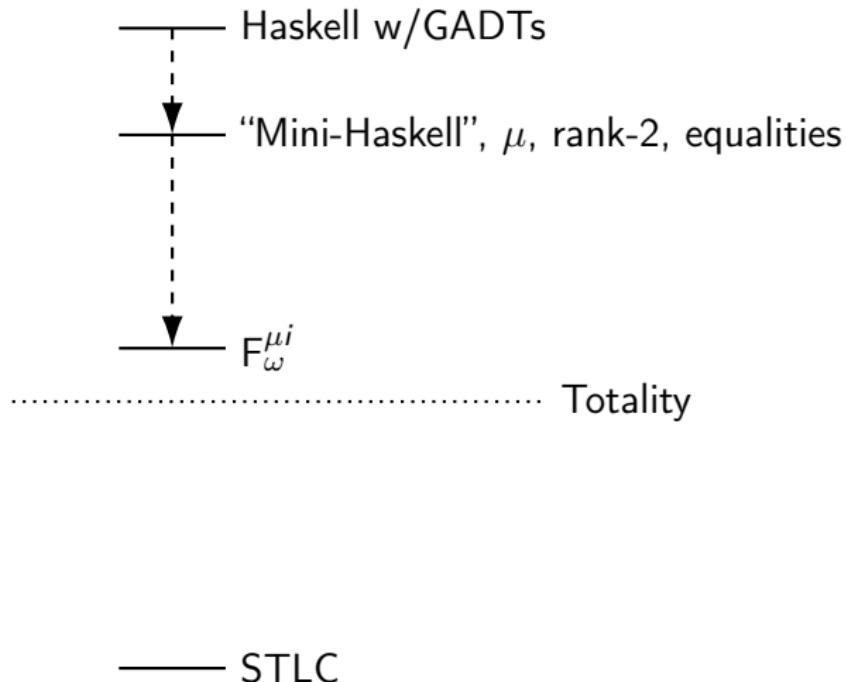
Host vs. target language



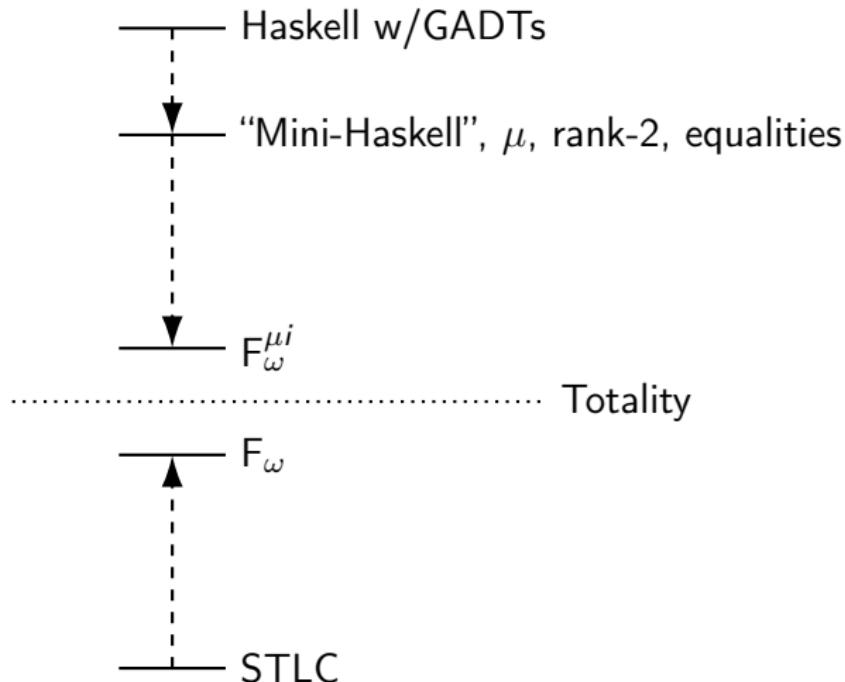
..... Totality

— STLC

Host vs. target language



Host vs. target language



F_ω in $F_\omega^{\mu i}$

$PExpF : (\star \rightarrow \star) \rightarrow (\star \rightarrow \star) \rightarrow \star \rightarrow \star$

$PExpF V F t =$

$\forall r : \star.$

{-Var, Abs, App omitted -}

{-TAbs -} ($IsAll\ t \rightarrow$ $\rightarrow Push\ F\ t \rightarrow r$) \rightarrow

{-TApp -} ($\forall t_0 : \star. IsAll\ t_0 \rightarrow Inst\ t_0\ t \rightarrow F\ t_0 \rightarrow r$) \rightarrow

r

F_ω in $F_\omega^{\mu i}$

$PExpF : (\star \rightarrow \star) \rightarrow (\star \rightarrow \star) \rightarrow \star \rightarrow \star$

$PExpF V F t =$

$\forall r : \star.$

{-Var, Abs, App omitted -}

{-TAbs -} ($IsAll\ t \rightarrow$ $\rightarrow Push\ F\ t \rightarrow r$) \rightarrow

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r

- ▶ $IsAll$ and $Inst$ will need to be clever witnesses of polymorphism

F_ω in $F_\omega^{\mu i}$

$PExpF : (\star \rightarrow \star) \rightarrow (\star \rightarrow \star) \rightarrow \star \rightarrow \star$

$PExpF V F t =$

$\forall r : \star.$

{-Var, Abs, App omitted -}

{-TAbs -} ($IsAll\ t \rightarrow$ $\rightarrow Push\ F\ t \rightarrow r$) \rightarrow

{-TApp -} ($\forall t_0 : \star. IsAll\ t_0 \rightarrow Inst\ t_0\ t \rightarrow F\ t_0 \rightarrow r$) \rightarrow

r

- ▶ $IsAll$ and $Inst$ will need to be clever witnesses of polymorphism
- ▶ $Push f t$ needs to be $\forall \alpha : \kappa. f t$ for the right α and κ

F_ω in $F_\omega^{\mu i}$: More **Typecase**

IsAll, *Inst* and *Push* can all be implemented if we extend **Typecase** to handle polymorphic types:

Typecase : $(\star \rightarrow \star \rightarrow \star) \rightarrow (\star \rightarrow \star) \rightarrow (\star \rightarrow \star) \rightarrow \star \rightarrow \star$

Typecase *Arr Out In* $(t_1 \rightarrow t_2) \equiv \text{Arr } t_1 \ t_2$

Typecase *Arr Out In* $(\forall \alpha : \kappa. t) \equiv \text{Out } (\forall \alpha : \kappa. \text{In } t) \quad \alpha \notin \text{FV}(\text{In})$

F_ω in $F_\omega^{\mu i}$: More **Typecase**

IsAll, *Inst* and *Push* can all be implemented if we extend **Typecase** to handle polymorphic types:

$$\text{Typecase} : (\star \rightarrow \star \rightarrow \star) \rightarrow (\star \rightarrow \star) \rightarrow (\star \rightarrow \star) \rightarrow \star \rightarrow \star$$

$$\text{Typecase } \textit{Arr} \textit{ Out} \textit{ In} (t_1 \rightarrow t_2) \equiv \textit{Arr} \ t_1 \ t_2$$

$$\text{Typecase } \textit{Arr} \textit{ Out} \textit{ In} (\forall \alpha : \kappa. t) \equiv \textit{Out} \ (\forall \alpha : \kappa. \textit{In} \ t) \quad \alpha \notin \text{FV}(\textit{In})$$

We can also define *StripAll* and *UnderAll* and add them to *TAbs* to enable traversals where the result is a constant type, e.g.
 $\text{size} : \mathbb{N} \rightarrow \mathbb{N}$, i.e. when we need to go between $\forall \alpha : \kappa. \mathbb{N}$ and \mathbb{N} .

Self-reduction

Typecase for μ types

$$\begin{array}{ll} \text{Typecase} : (\star \rightarrow \star \rightarrow \star) & \rightarrow \\ (\star \rightarrow \star) & \rightarrow \\ (\star \rightarrow \star) & \rightarrow \\ (((\star \rightarrow \star) \rightarrow \star \rightarrow \star) \rightarrow \star \rightarrow \star) & \rightarrow \\ \star & \rightarrow \\ \star & \end{array}$$

Typecase Arr Out In Fix ($t_1 \rightarrow t_2$) \equiv Arr $t_1 t_2$

Typecase Arr Out In Fix ($\forall \alpha : \kappa. t$) \equiv Out ($\forall \alpha : \kappa. In t$) $\quad \alpha \notin FV(t)$

Typecase Arr Out In Fix ($\mu f t$) \equiv Fix $f t$

Tying the knot: $F_\omega^{\mu i}$ in $F_\omega^{\mu i}$

$PExpF : (\star \rightarrow \star) \rightarrow (\star \rightarrow \star) \rightarrow \star \rightarrow \star$

$PExpF \vee F t =$

$\forall r : \star.$

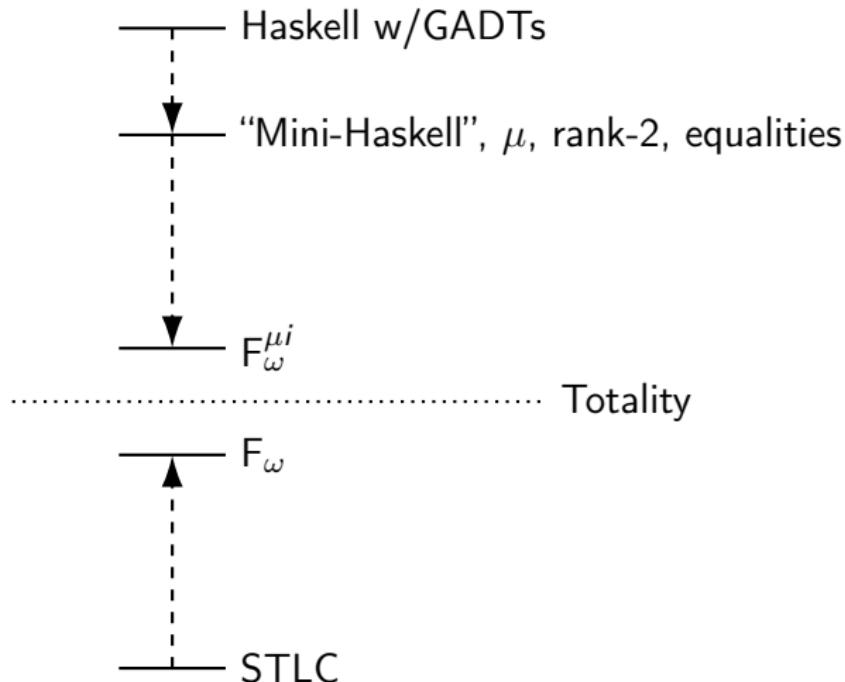
{-Var, Abs, App, TAbs, TApp omitted -}

{-Fold -} $(\forall g t_0. Eq (\mu g t_0) t \rightarrow F (g (\mu g) t_0) \rightarrow r) \rightarrow$

{-Unfold -} $(\forall g t_0. Eq (g (\mu g) t_0) t \rightarrow F (\mu g t_0) \rightarrow r) \rightarrow$

r

Host vs. target language



Host vs. target language

